

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: David E. Clune, et al. Group Art Unit: 2171

Serial No.: 10/037,067



Examiner: Unassigned

Filed: 12/21/2001

#4

For: Method and Apparatus for Maintaining Multicast Lists in a Data Network

INFORMATION DISCLOSURE STATEMENT

- 1. Pursuant to 37 CFR 1.97(b)
(within 3 months of filing or prior to 1st Office Action)
- 2. Certification Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- 3. Fee Payment Pursuant to 37 CFR 1.97(c)
(before Final Office Action or Allowance)
- 4. Petition, Certification & Petition Fee Payment Pursuant to 37 CFR
1.97(d) (before issue fee payment)

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Commissioner of Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above identified application in compliance with 37 CFR 1.97 and 1.98:

- 5. A list of documents on form PTO-1449 together with copies of each identified document and a translation or a concise explanation of each non-English language document is enclosed herewith.

This paper is submitted in accordance with:

- 6. 37 CFR 1.97(b): [within 3 months of filing or prior to 1st Office Action];
- 7. 37 CFR 1.97(c): [before Final Office Action or Allowance, whichever is earlier; and
- 8. The required certification made in item 11 below; or

[] 9. The \$240.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.

[] 10. 37 CFR § 1.95(d): [before issue fee payment]; and

a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR 1.17(i)(1) is enclosed via check. (Direct this letter to: "Attention PETITIONS EXAMINER" and if applicable include batch locator information: e.g. "Allowed Files, Batch ____, Date of Allowance ____"), and

b) The required Certification is stated in item 11 below.

[] 11. Certification

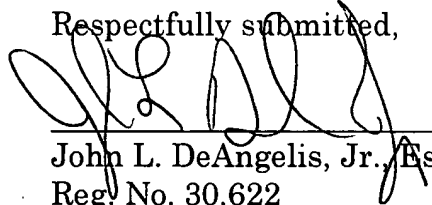
[] 12. Each item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or

[] 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing of this Statement.

[X] 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 50-1790.

An original and two (2) copies of this document are enclosed.

Respectfully submitted,



John L. DeAngelis, Jr., Esquire

Reg. No. 30,622

Holland & Knight LLP

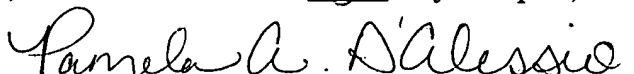
1499 S. Harbor City Blvd. Suite 201

Melbourne, FL 32901

(321) 951-1776

CERTIFICATE OF MAILING

I HEREBY CERTIFY that this Information Disclosure Statement is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner of Patents, Washington, D.C. 20231 on this 8 day of April, 2002.



Pamela A. D'Alessio

Substitute for form 1449A/PTO			<i>Complete if Known</i>		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <small>(use as many sheets as necessary)</small>			Application Number	10/037,067	
			Filing Date	12/21/2001	
			First Named Inventor	David E. Clune	
			Group Art Unit	2171	
			Examiner Name	Unassigned	
Sheet 1 of 1	Attorney Docket Number	Clune 3-4-18			

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No.	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	A	6,327,621	B1	Lee, et al	12-04-2001	
	B	6,310,879	B2	Zhou, et al	10-30-2001	
	C	6,310,875	B1	Nichols, et al	10-30-2001	
	D	6,321,270	B1	Crawley	11-20-2001	

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FOREIGN PATENT DOCUMENTS								
Examiner Initials	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature	Date Considered
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¹ EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
² Unique citation designation number. ³ See attached Kinds of U.S. Patent Documents. ⁴ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).
⁵ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁶ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁷ Applicant is to place a check mark here if English language Translation is attached.